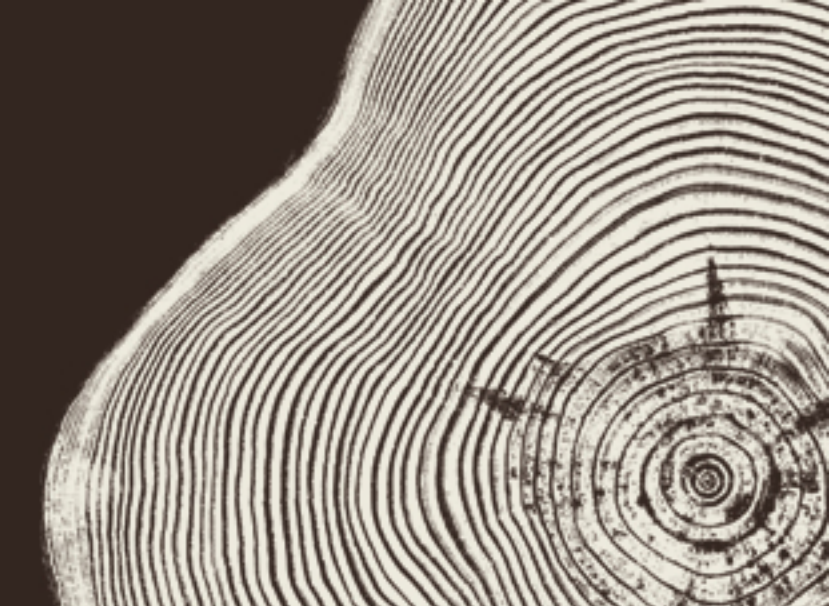


Your journey to becoming Directly Authorised



Tenet will be at your side every step of the way, providing you and your clients with financial peace of mind thanks to our 30+ years of experience helping UK firms and individuals become Directly Authorised (DA).

1. Initial Enquiry

- Full review of process, your regulatory responsibilities and how to avoid fines & delays
- Allocation of personal Regulatory Consultant (RC) for the entire process



2. Documentation

- Gathering of documentation, including regulatory reference, training records and audit reports
- PII cover brokered & DBS check arranged
- Calculation of all capital adequacy requirements, fees and levies



3. Consultation & Review

- Full documentation review
- Next-stage consultation, including development plans and fitness & propriety



4. Training Support

Do you require training support from Tenet Compliance Services?

Yes - You receive expert training and assistance in everything from creating approved job descriptions and SOR, to staff training and issuance of certificates.

No - You are responsible for organising all required training and documentation. You must also undertake all supervision responsibilities, including staff training.



5. Application Completion & Review

- Full application audit, including feedback & final checks.



6. Application Submission

- You are now ready to submit your application and become DA - giving you greater control of your business and maximising your chances of success.

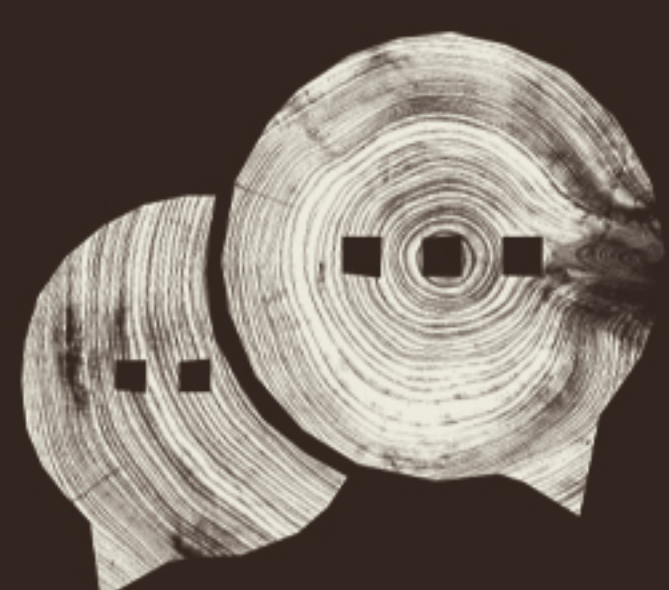


Tenet DA Application Process:

- Application - up to 2 weeks
- DA Approval - 3-6 months on average

Self-Application Process:

- Application - up to 2 months
- DA Approval - 6-12 months on average



To learn more about how Tenet can help you quickly and smoothly become DA, email joinus@tenet.co.uk or call 0113 239 0011